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Assignee Residence: Armonk, New York

Approved for use through 9/30/00. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ER211528434US

LITH ITY PATENT APPLICATION TRANSMITTAL

TUC920030102US1 Attorney Docket No. Total Pages First Named Inventor or Application Identifier Robert Beverley Basham et al.

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 X Fee Transmittal Form
(Submit an original, and a duplicate for fee processing) Microfiche Computer Program (Appendix) Nucleotide and/or Amino Acid Sequence Submission (if applicable, all 2. X Specification Specification (Total Pages) __32_ referred arrangement set forth below) necessary - Descriptive title of the Invention Computer Readable Copy - Cross References to Related Application Paper Copy (identical to computer copy) - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix Statement verifying identify of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) Power of Attorney 37 CFR 3 73/h) Statement - Abstract of the Disclosure (when there is an assignee) X Drawing(s) (35 USC 113) (Total Pages) 5 English Translation Document (if applicable) 10 4. Oath or Declaration (Total Pages) __11 Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 X Newly executed (original or copy) Preliminary Amendment 12 Copy from a prior application (37 CFR 1.63(d)) (for continuation /divisional with Box 17 completed) Return Receipt Postcard (MPEP 503) [Note Box 5 below] (Should be specifically itemized) DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named Small Entity Statement filed in prior application, in prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Statement(s) Status still proper and desired Incorporation by Reference (useable if Box 4b is checked) Certified Copy of Priority Document(s) The entire disclosure of the prior application, from which a copy of if foreign priority is claimed) the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is Other: Express Mail Certificate hereby incorporated by reference therein. 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: Continuation Divisional Continuation-in-part (CIP) of prior application No.: 18. CORRESPONDENCE ADDRESS ☐ Customer Number or Bar Code Label 36491 □ Or Correspondence address below Brian C. Kunzler NAME 10 West 100 South ADDRESS Suite 450 CITY Salt Lake City STATE Utah 84101 TELEPHONE (801) 994-4646 FAX (801) 531-1929 COUNTRY United States Assignee Name: INTERNATIONAL BUSINESS MACHINES CORPORATION

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		Complete If Known					
FEE TRANS	MITTAL	Application Number	Not yet assigned				
TEE TOMORITAE		Filing Date	August 25, 2003				
		First Named Invent r	Robert Beverley Basham et al.				
Note: Effective Octob		Group Art Unit					
Patent fees are subject to	annual revision.	Examiner Name					
TOTAL AMOUNT OF PAYMENT	\$ 1222	Attorney Docket Number	TUC920030102US1				

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge		3. ADDITIONAL FEES				
indicated fees and credit any over payments to:		Large Entity Small Entity		Entity		
Deposit Account Number: 09-0449		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: IBM CORPORATION	Code 1051	130	2051		Surcharge - late filing fee or oath	
Charge Any Additional Charge the Issue Fee		50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Fee Required Under In 37 CFR at the Mailing 37 CFR 1.16 and 1.17 of the Notice of		130	2053	130	Non-English specification	
Allowance		2520	1812	2520	For filing a request for reexamination	
2. Payment Enclosed:		920*	1804	920*	Requesting publication of SIR prior to	
2. Carayment Cricioseo:					Examiner action	<u></u>
☐ Check ☐ Money Order ☐ Other		1840*	1805	1840*	Requesting publication of SIR after Examiner action	L
FEE CALCULATION		110	2251	55	Extension for reply within first month	
1. FILING FEE		410	2252	205	Extension for reply within second month	
	1253	930	2253	465	Extension for reply within third month	
Large Small Entity Entity	1254	1450	2254	725	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid	1255	1970	2255	985	Extension for reply within fifth month	
Code (\$) Code (\$)	1401	320	2401	160	Notice of Appeal	
1001 750 2001 375 Utility filing fee 750	1402	320	2402	160	Filing a brief in support of an appeal	
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing	
1003 520 2003 260 Plant filing fee	1451	1510	1451	1510	Petition to institute a public use proceeding	
1004 750 2004 375 Reissue filing fee	1452	110	2452	55	Petition to revive - intentional	
1005 160 2005 80 Provisional filing fee	1453	1300	2453	650	Petition to revive - unintentional	
SUBTOTAL (1) \$ 750	1501	1300	2501	650	Utility issue fee	1
2. CLAIMS Fee from	1502	470	2502	235	Design issue fee	
Extra below Fee Paid	1503	630	2503	315	Plant issue fee	
Total Claims 30 -20 = 10 x 18 = 180	1460	130	1460	130	Petitions to the Commissioner	
Ind. Claims 6 -3 = 3 x 84 = 252	1807	50	1807	50	Petitions related to provisional applications	
Multiple Dep. Claims 0 x 280 = 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	1809	750	1809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1201 84 2201 42 independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim		(specify)			(a) or it is solon	
1255 250 2255 140 Multiple dependent claim	J Canal lee	(opculy)				
SUBTOTAL (2) \$ 432	*Reduce	d by Basic i	Filing Fee		SUBTOTAL (3)	40

SUBMITTED BY		Complete (if ap	plicable)
Typed or Printed Name	Brian C. Kunzler	Reg. Number	38,527
Signature		25, 2003 Deposit Account User ID	

PATENT APPLICATION

Docket No.: TUC920030102US1

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: ER211528434US

Date of Deposit: August 25, 2003

I hereby certify that this patent application in the name of Robert Beverley Basham, Ralph

Thomas Beeston, Kirby Grant Dahman, Christopher Grunow, and Ronald David Martens for

APPARATUS, SYSTEM, AND METHOD FOR COMMUNICATING CONTROL MESSAGES

BETWEEN A FIRST DEVICE AND A SECOND DEVICE, together with the drawings, a

Declaration, Power of Attorney, and Petition, an Assignment and Recordation Form Cover Sheet,

Information Disclosure Statement, PTO Form 1449, and Copies of Cited References are being

deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Mail Stop Patent

Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Reg. No. 38,527

Attorney for Applicant

Date: August 25, 2003

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